

## GENERAL INFORMATION

<b>Name of formality</b>	License to Conduct Securities Business as a Securities Company
<b>Type of formality</b>	License
<b>Date of last modification</b>	02.05.2024
<b>Responsible Authority</b>	Lao Securities Commission Office (021 217770;)
<b>Who should apply for this formality?</b>	
<b>Qualifications needed by the business to get the formality</b>	

## LEGAL FRAMEWORK

<b>Name</b>	<b>Number</b>	<b>Date</b>	<b>Comment</b>
Securities Law (Amendment)	34/NA	03.12.2019	
Decision on Applying for Permission to Conduct Securities Business (Amendment)	22/LSC	24.06.2021	

## REQUIRED DOCUMENTS FORMALITIES

Name	Type	Comment
\${SUPPORT_NAME}	\${SUPPORT_TYPE}	\${SUPPORT_COMMENT}

## LIST OF ATTACHED DOCUMENTS FOR FIRST TIME APPLICATION

Name of document	Type of document	File of document
\${ATTACHMENT_NAME}	\${ATTACHMENT_TYPE}	\${ATTACHMENT_FILE}

## LIST OF ATTACHED DOCUMENTS FOR RENEW APPLICATION

Name of document	Type of document	File of document
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<b>\${ATTACHMENT_NAME_RENEW}</b>	<b>\${ATTACHMENT_TYPE_RENEW}</b>	<b>\${ATTACHMENT_FILE_RENEW}</b>
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<b>MEAN OF PRESENTATION</b>		
<b>Means of presentation</b>		
<b>Address of authority</b>	Lao Securities Commission Office, Bank of Lao PDR	
<b>Time it takes to the authorities to process the formality</b>	45	

<b>RENEWAL INFORMATION</b>	
<b>Does the formality have a validity or an expiration date?</b>	<b>How long will the formality be valid for? (in months)</b>
NO	0
<b>What is the process and conditions to get the formality?</b>	
<b>What is the process and conditions to renew the formality?</b>	

ISSUING FEES				
Has application fees?	Service fees businesses need to disburse	Certificate fees businesses need to disburse	Application fees businesses need to disburse	Total fees businesses need to disburse
YES	0.00	20000000.00	0.00	20000000.00
Comments				
ADDITIONAL ISSUING FEES				
Additional fee names				
NO	0.00	0.00	0.00	0.00
Additional fee comments				

RENEWAL FEES				
Has renewal fees?	Service fees businesses need to disburse	Certificate fees businesses need to disburse	Renewal fees businesses need to disburse	Total renewal fees businesses need to disburse
NO	0.00	0.00	0.00	0.00
Comments				
ADDITIONAL RENEWAL FEES				
Additional renewal fees names				
NO	0.00	0.00	0.00	0.00
Additional renewal fees comments				